

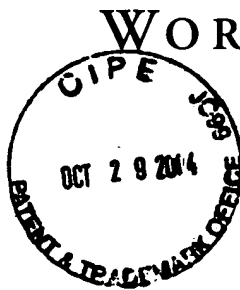
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EXPRESS MAIL LABEL NO.: EV 485808220 US PATENT APPLICATION  
Docket No.: 15436.436.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of 9 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

\* Admitted only in California

† Admitted only in New York

§ Admitted only in Virginia

\_\_\_ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

\_\_\_ Legible Copies of previously filed Information Disclosure Statement for application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

\_\_\_ Promptness Certification.

X Credit Card Payment Form the amount of \$180.00 constituting submission fee under 37 C.F.R. 1.17(p);

\_\_\_ Petition for Consideration and Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 29<sup>th</sup> day of October, 2004.

Respectfully submitted,



Eric L. Maschoff  
Attorney for Applicant  
Registration No. 36,596  
Customer No. 022913



EXPRESS MAIL LABEL NO.: EV 485808220 US

PATENT APPLICATION

Docket No. 15436.436.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
)  
Walker, Jr., et al. )  
)  
Serial No.: 10/006,103 ) Art Unit  
) 2874  
Filed: December 6, 2001 )  
)  
For: METHOD AND SYSTEM FOR RELEASING A )  
PLUGGABLE MODULE )  
)  
Confirmation No.: 9457 )  
)  
Customer No.: 022913 )  
)  
Examiner: Juliana K. Kang )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Submission Fee  
Under 37 C.F.R. § 1.97(c)

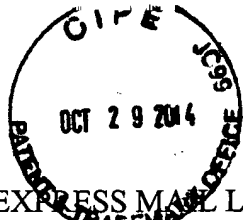
In accordance with 37 C.F.R. § 1.97(c), a Credit Card Payment Form for the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 29<sup>th</sup> day of October, 2004.

Respectfully submitted,



Eric L. Maschoff  
Attorney for Applicant  
Registration No. 36,596  
Customer No. 022913



EXPRESS MAIL LABEL NO.: EV 485808220 US

Form PTO-1449

Sheet 1 of 3

Applicant: Walker, Jr., et al.

Serial No.: 10/006,103

Att'y Docket No.: 15436.436.1

Filing Date: December 6, 2001

Group: 2874

Confirmation No.: 9457

For: METHOD AND SYSTEM FOR RELEASING A PLUGGABLE  
MODULE

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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	4,083,619	Apr. 11, 1978	McCormick et al.
___ 2	5,195,897	Mar. 23, 1993	Kent et al.
___ 3	5,256,080	Oct. 26, 1993	Bright
___ 4	5,329,428	Jul. 12, 1994	Block et al.
___ 5	5,738,538	Apr. 14, 1998	Bruch et al.
___ 6	6,169,295	Jan. 2, 2001	Koo
___ 7	US2003/0100204	May 29, 2003	Hwang

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
___ 8	DE20005316	Sep. 7, 2000	Siemens

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808220 US

Form PTO-1449

Sheet 2 of 3

Applicant: Walker, Jr., et al.

Serial No.: 10/006,103

Att'y Docket No.: 15436.436.1

Filing Date: December 6, 2001

Group: 2874

Confirmation No.: 9457

For: METHOD AND SYSTEM FOR RELEASING A PLUGGABLE  
MODULE

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Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial\*

\_\_\_\_\_ 9      Article Titled "XFP (10 Gigabit Small Form Factor Pluggable Module)," Ghiasi,  
et al., XFP MSA, 2002.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 485808220 US

Form PTO-1449

Sheet 3 of 3

Applicant: Walker, Jr., et al.

Serial No.: 10/006,103

Att'y Docket No.: 15436.436.1

Filing Date: December 6, 2001

Group: 2874

Confirmation No.: 9457

For: METHOD AND SYSTEM FOR RELEASING A PLUGGABLE  
MODULE

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Walker, Jr. et al.

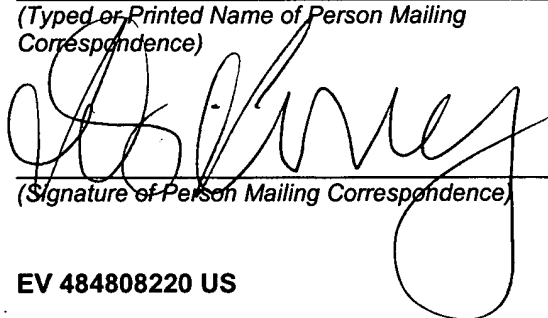
Docket No.  
15436.436.1Serial No.  
10/006,103Filing Date  
December 6, 2001Confirmation No.  
9457Examiner  
Juliana K. KangGroup Art Unit  
2874

Invention: METHOD AND SYSTEMS FOR RELEASING A PLUGGABLE MODULE

I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (3 pgs); Legible Copies of 9 Cited References; Credit Card Payment Form; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 29, 2001

Tobi A. Terry

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*(Typed or Printed Name of Person Mailing Correspondence)*

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*(Signature of Person Mailing Correspondence)***EV 484808220 US**

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*("Express Mail" Mailing Label Number)*

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